

# Walmart Supply Chain Security

## Factory General Information

|                 |  |                  |                       |
|-----------------|--|------------------|-----------------------|
| Factory Id      | 36279796   | Audit Firm Name  | Omega Compliance Ltd. |
| Factory Name    | Swastik Sports Foundation  | Audit Request no | 18234431              |
| Factory Address | 24, Mohkampur, Phase I, Delhi Road, Opposite IIA Bhawan, Meerut 250002, Uttar Pradesh, India | Audit Date       | 6/16/2023             |
| Factory City    | Meerut   | Factory Country  | India                 |
| Factory State   | Uttar Pradesh  |                  |                       |

## Business Overview

|                  |              |                  |                   |
|------------------|--------------|------------------|-------------------|
| Type of Industry | Pet Products | Product Category | Pets Tennis Balls |
| No: of workers   | 23           |                  |                   |

## Factory Physical Structure

|                   |   |                             |   |
|-------------------|---|-----------------------------|---|
| No: of Buildings  | 1 | No: of Production Buildings | 1 |
| No: of Warehouses | 0 | Other Buildings             | 0 |

## Audit Information

|                                    |                           |                                    |                           |
|------------------------------------|---------------------------|------------------------------------|---------------------------|
| Audit Type:                        | Initial                   | Name of the Auditor                | Rahul Nishant             |
| Opening Meeting Attendees & Titles | Mr. Akshat Jain / Partner | Closing Meeting Attendees & Titles | Mr. Akshat Jain / Partner |
| Today number of audit days         | 1                         | Audit Scope                        | Onsite                    |

## Audit Criteria

|  |     |                                       |     |
|--|-----|---------------------------------------|-----|
| 1. Security Vision & Responsibility                    | YES | 7. Procedural Security                | YES |
| 2. Risk Assessment                                     | YES | 8. Agricultural Security              | YES |
| 3. Business Partners                                   | YES | 9. Physical Security                  | YES |
| 4. Cybersecurity                                       | YES | 10. Physical Access Controls          | YES |
| 5. Conveyance and Instruments of International Traffic | YES | 11. Personnel Security                | YES |
| 6. Seal Security                                       | YES | 12. Education, Training and Awareness | YES |

## Signatures

|                                   |                       |
|-----------------------------------|-----------------------|
| Factory Representative Name       | Auditor Name          |
| Mr./Ms. Mr. Akshat Jain / Partner | Mr./Ms. Rahul Nishant |

The listed finding(s) appended should only be considered a draft. The final report may or may not contain additional information and/or changes. Your signature on this document only represents that auditor from the respective 3rd Party Audit Firm representing Walmart has reviewed the findings with you, and that you understand them. Your signature does NOT mean that you agree with each finding. You may request your own comments be included in this document before applying your signature. Your signature also represents a commitment to achieve the proposed actions recommended by the auditor.